

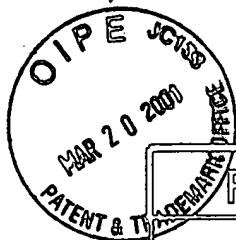
1619
\$

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/614,790	
	Filing Date	July 12, 2000	
	First Named Inventor	Sharon F. Kleyne	
	Group Art Unit	1619	
	Examiner Name	M. Willis	
Total Number of Pages in this Submission	17	Attorney Docket Number	HME/7982.001

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit(s)/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Part(s)/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition To Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below)
Remarks:		

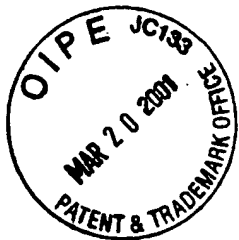
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	Howard Eisenberg, Esq. 601 SW Second Avenue, Suite 1600 Portland, OR 97204		
Signature			
Date	March 15, 2001		
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.			
Type or print name	Howard Eisenberg		
Signature		Date	March 15, 2001

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FEE TRANSMITTAL		Complete if Known	
for FY 2001 <i>Patent fees are subject to annual revision.</i>		Application Number	09/614,790
		Filing Date	July 12, 2000
		First Named Inventor	Sharon Kleyne
		Examiner Name	M. Willis
		Group/ Art Unit	1619
TOTAL AMOUNT OF PAYMENT	\$220	Attorney Docket No.	HME/7982.001
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:		3. ADDITIONAL FEES	
Deposit Account Number 03-1550		Large Entity Small Entity	
Deposit Account Name Chernoff Vilhauer McClung & Stenzel		Fee Code Fee (\$) Fee Code Fee (\$)	
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17		Fee Description Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed		105 130 205 65 Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25 Surcharge-late provisional filing fee or cover sheet	
FEE CALCULATION		139 130 139 130 Non-English specification	
1. BASIC FILING FEE		147 2,520 147 2,520 For filing a request for reexamination	
Large Entity Small Entity		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Fee Code Fee (\$) Fee Code Fee (\$)	Fee Description Fee Paid	113 1840* 113 1840* Requesting publication of SIR after Examiner action	
101 710 201 355	Utility filing fee	115 110 215 55 Extension for reply within first month	
106 320 206 160	Design filing fee	116 390 216 195 Extension for reply within second month	
107 490 207 245	Plant filing fee	117 890 217 445 Extension for reply within third month	
108 710 208 355	Reissue filing fee	118 1,390 218 695 Extension for reply within fourth month	
114 150 214 75	Provisional filing fee	128 1,890 228 945 Extension for reply within fifth month	
SUBTOTAL (1) \$0		119 310 219 155 Notice of Appeal	
2. EXTRA CLAIM FEES		120 310 220 155 Filing a brief in support of an appeal	
Total Claims 43 -23** = 20 x 9 = 180		121 270 221 135 Request for oral hearing	
Indep. Claims 5 - 4** = 1 x 40 = 40		138 1,510 138 1,510 Petition to institute a public use proceeding	
Multiple Dependent		140 110 240 55 Petition to revive - unavoidable	
*or number of previously paid, if greater. For reissues, see below. (PREVIOUSLY PAID 23 CLAIMS, 4 INDEPENDENT)		141 1,240 241 620 Petition to revive - unintentional	
Large Entity Small Entity		142 1,240 242 620 Utility issue fee (or reissue)	
Fee Code Fee (\$) Fee Code Fee (\$)	Fee Description Fee Paid	143 440 243 220 Design issue fee	
103 18 203 9	Claims in excess of 20	144 600 244 300 Plant issue fee	
102 80 202 40	Independent claims in excess of 3	122 130 122 130 Petitions to the Commissioner	
104 270 204 135	Multiple dependent claim, if not paid	123 50 123 50 Petitions related to provisional applications	
109 80 209 40	**Reissue independent claims over original patent	126 240 126 240 Submission of Information Disclosure Statement	
110 18 210 9	*Reissue claims in excess of 20 and over original patent	581 40 581 40 Recording each patent assignment per property (times number of properties)	
SUBTOTAL (2) \$220		146 710 246 355 Filing a submission after final rejection (37 C.F.R. 1.129(a))	
* Reduced by Basic Filing Fee Paid		149 710 249 355 For each additional invention to be examined (37 C.F.R. 1.129(b))	
		169 900 169 900 Request for Expedited Examination of a Design Application	
		Other (specify)	
		SUBTOTAL (3) \$0	
SUBMITTED BY		Complete (if applicable)	
Name (print type)	Howard Eisenberg, Esq.	Registration No.	36,789
Signature		Telephone	(503) 227-5631
		Date	March 15, 2001

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Atty Doc. No. HME/7982.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION EXAMINING OPERATIONS

In re the Application of Sharon F. Kleyne : Group Art Unit: 1619
Serial No. 09/614,790 : Examiner: Michael Willis
Filed: July 12, 2000 : Tel. No. (703) 305-1679
For a Patent for : Date: March 15, 2001
METHOD AND KIT FOR MOISTURIZING
THE SURFACE OF THE EYE

#4/a
JRP
3/28/01

AMENDMENT UNDER 37 C.F.R. §1.111

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Dear Sir,

In response to the Office Action dated February 12, 2001, please amend the application as follows.

IN THE CLAIMS

Please amend the claims as follows.

1. (Amended) A method for moisturizing the eye comprising administering to the surface of the eye an aqueous fluid consisting essentially of water in an amount that is sufficient to increase the volume of the aqueous layer of the tear film by at least 5% of the volume of the normal aqueous layer and which amount is less than that which causes

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01 FC:203
02 FC:202

180.00 OP
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